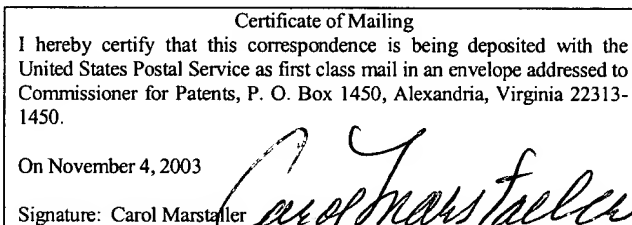




BY THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of: §  
Ranganathan Nagarajan §  
Serial No. 09/900,293 § Examiner: Deo, Duy Vu Nguyen  
Filing Date: July 6, 2001 § Group No. 1763  
Title: SLOPED TRENCH ETCHING PROCESS

Mail Stop Non-Fee Amendment  
US Patent and Trademark Office  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450



**REFILING AMENDMENT ACCORDING TO  
NOTICE OF NON-COMPLIANT AMENDMENT (37 CFR ¶ 1.121)  
TRANSMITTAL LETTER**

Dear Sir/Madam:

This is a response amendment to an Office Action in the above-identified application and includes the transmitted herewith attachments of the same date and subject which are incorporated hereunto by reference. The signature below is to be treated as the signature to the attachments in absence of a signature thereto.

Transmitted herewith in the above-identified application are:

- 1) Refiled Response Amendment to Office Action dated August 4, 2003
- 2) Copy of Notice of Non-Compliant Amendment
- 3) Acknowledgment Postcard

No additional fee is required.

**FEE REQUIREMENTS FOR CLAIMS AS AMENDED**

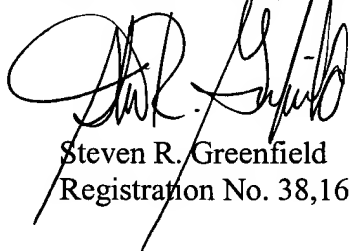
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREVIOUSLY PAID FOR	PRESENT EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	
1. TOTAL CLAIMS	<u>5</u>	<u>20</u> (at least 20)	= <u>        </u> (at least 0)	x9 = <u>OR</u>	x18 =	\$ <u>        </u>
2. INDEP. CLAIMS	<u>1</u>	3		x9 = <u>OR</u>	x84 =	\$ <u>        </u>

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and may be required under 37 CFR 1.16-1.18 (missing or insufficiencies only) now or hereafter relative to this application and for the resulting Official Document under 37 CFR 1.20, OR credit any overpayment to Jenkins & Gilchrist's Account No. 10-0447 for which purpose a duplicate copy of this sheet is attached.

This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

Respectfully submitted,

JENKENS & GILCHRIST, P.C.



Steven R. Greenfield  
Registration No. 38,166

Date: November 4, 2003

1445 Ross Avenue, Suite 3200  
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# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

NOV 07 2003

PT & TRADEMARK

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/900,293	07/06/2001	Ranganathan Nagarajan	33726-00016	6097

7590 10/29/2003

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3200 Fountain Place  
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INTELLECTUAL PROPERTY

NOV 03 2003

JENKENS & GILCHRIST

EXAMINER

ALEJANDRO MULERO, LUZ L

ART UNIT PAPER NUMBER

1763

DATE MAILED: 10/29/2003

Please find below and/or attached an Office communication concerning this application or proceeding.

PH 11303  
Resp due 11-29-03



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
P O Box 1450  
ALEXANDRIA, VA 22313-1450  
www.uspto.gov

Paper No.

Notice of Non-Compliant Amendment (37 CFR 1.121)

The amendment document filed on 10/30/03 is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121, as amended on June 30, 2003 (see 68 Fed. Reg. 38611, Jun. 30, 2003). In order for the amendment document to be compliant, correction of the following item(s) is required. **Only the corrected section of the non-compliant amendment document must be resubmitted (in its entirety), e.g., the entire "Amendments to the claims" section of applicant's amendment document must be re-submitted.** 37 CFR 1.121(h).

THE FOLLOWING CHECKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:

- ☐ 1. Amendments to the specification:
- ☐ A. Amended paragraph(s) do not include markings.
  - ☐ B. New paragraph(s) should not be underlined.
  - ☐ C. Other \_\_\_\_\_
- ☐ 2. Abstract:
- ☐ A. Not presented on a separate sheet. 37 CFR 1.72.
  - ☐ B. Other \_\_\_\_\_
- ☐ 3. Amendments to the drawings: \_\_\_\_\_
- ☒ 4. Amendments to the claims:
- ☒ A. A complete listing of all of the claims is not present.
  - ☐ B. The listing of claims does not include the text of all claims (including withdrawn claims)
  - ☐ C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each claim cannot be identified.
  - ☐ D. The claims of this amendment paper have not been presented in ascending numerical order.
  - ☐ E. Other: Claims 1-19

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP Sec. 714 and the USPTO website at <http://www.uspto.gov/web/offices/pac/dapp/opla/prenotice/officeflyer.pdf>.

If the non-compliant amendment is a **PRELIMINARY AMENDMENT**, applicant is given ONE MONTH from the mail date of this letter to supply the corrected section which complies with 37 CFR 1.121. Failure to comply with 37 CFR 1.121 will result in non-entry of the preliminary amendment and examination on the merits will commence without consideration of the proposed changes in the preliminary amendment(s). This notice is not an action under 35 U.S.C. 132, and this **ONE MONTH** time limit is not extendable.

If the non-compliant amendment is a reply to a **NON-FINAL OFFICE ACTION** (including a submission for an RCE), and since the amendment appears to be a *bona fide* attempt to be a reply (37 CFR 1.135(c)), applicant is given a TIME PERIOD of **ONE MONTH** from the mailing of this notice within which to re-submit the corrected section which complies with 37 CFR 1.121 in order to avoid abandonment. **EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).**

If the amendment is a reply to a **FINAL REJECTION**, this form may be an attachment to an Advisory Action. The period for response to a final rejection continues to run from the date set in the final rejection, and is not affected by the non-compliant status of the amendment.

Legal Instruments Examiner (LIE)

(203) 308-0661  
Telephone No.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

§

Ranganathan Nagarajan

§

Serial No. 09/900,293

§

Examiner: Alejandro Mulero, Luz L.

Filing Date: July 6, 2001

§

Group No. 1763

Title: SLOPED TRENCH ETCHING PROCESS

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US Patent and Trademark Office  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P. O. Box 1450, Alexandria, Virginia 22313-1450.

On November 4, 2003

Signature: Carol Marsteller



Dear Sir:

AMENDMENT

Responsive to the Official Action mailed on August 4, 2003, reconsideration and allowance of the present application are respectfully requested and believed to be appropriate in view of the following amendments and remarks: